

Clause Redundancy and Preprocessing in Maximum Satisfiability

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Motivation

- MaxSAT: declarative optimisation based on propositional logic.
 - ▶ compute an assignment to Boolean (0-1) variables that:
 - ★ satisfies a set of (hard) clauses.
 - ★ minimizes a linear objective function (equivalently, minimizes the sum of weights of falsified soft clauses)
- State-of-the-art solvers build on the success of CDCL SAT solvers.
- New application domains and solver improvements annually.
(Recent survey in [Bacchus, Jarvisalo, and Martins, 2021])

(Complete) SAT-based MaxSAT solving

Algorithms

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Algorithms

Core-Guided

Lower-bounding search
with a SAT solver

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Implicit Hitting Set (IHS)

Lower-bounding search
with a SAT and MIP solver

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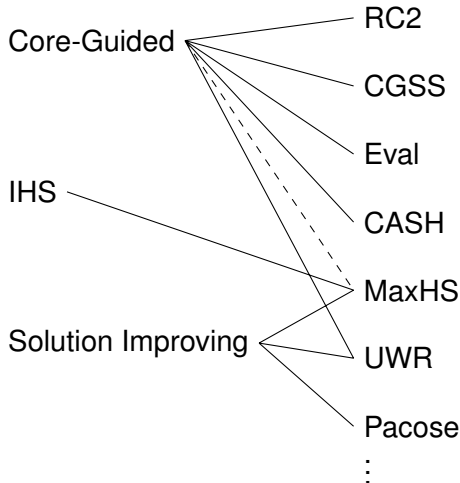
Solution Improving

Upper-bounding search
with a SAT solver

(Complete) SAT-based MaxSAT solving

Algorithms

Solvers

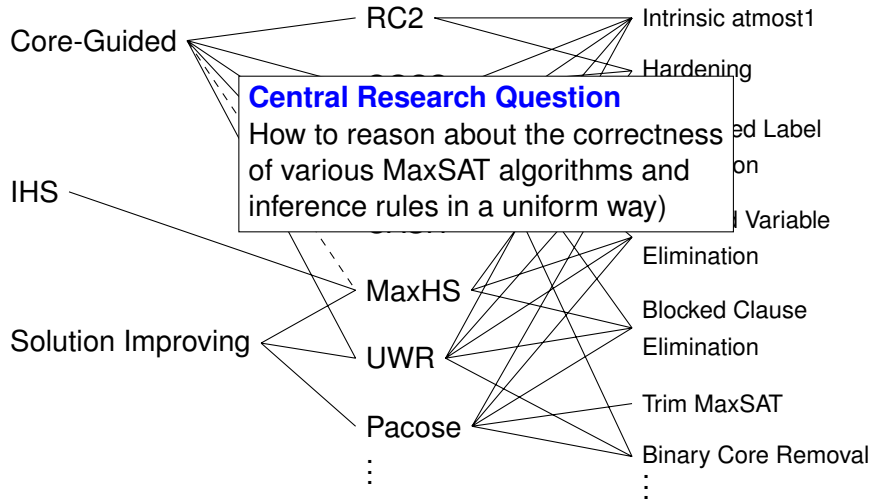


(Complete) SAT-based MaxSAT solving

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Solvers

**Additional
Inference Rules**



Our (Theoretical) Contributions(1/2)

Clause Redundancy central base for proofs of correctness of SAT solving techniques.

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In this paper we:

- Lift recently proposed redundancy notions from SAT to MaxSAT.
- Reason about the correctness of various solver techniques.
- Analyse the effect of redundant clauses on solutions of MaxSAT instances:
 - ▶ Focus especially on the MaxSAT specific concept of minimal correction sets.

[Heule and Kiesl, 2017]

[Heule, Kiesl, and Biere, 2020]

[Järvisalo, Biere, and Heule, 2012]

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[Biere, Järvisalo, and Kiesl, 2021]

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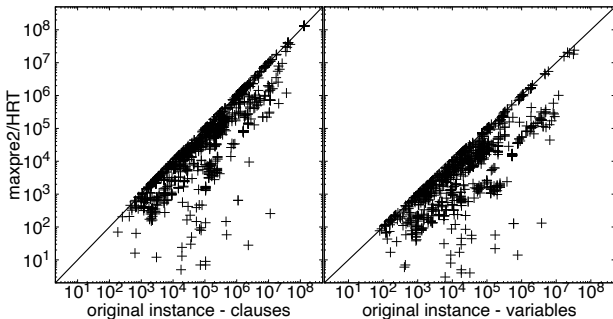
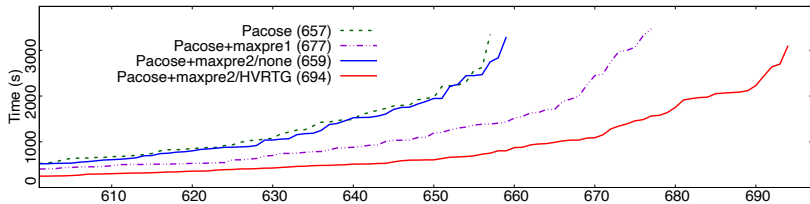
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Expressive preprocessing in practice



Our (Practical) Contributions(2/2)

- **MaxPre 2:** Stand-alone implementation of reasoning techniques previously only appearing in solvers.
- Empirical evaluation on effect of preprocessing on several types of state-of-the-art solvers.

[Korhonen, Berg, Saikko, and Järvisalo, 2017]

[Ansótegui, Bonet, Gabàs, and Levy, 2012]

[Paxian, Raiola, and Becker, 2021]

[Marques-Silva and Planes, 2008]

[Berg, Demirovic, and Stuckey, 2019]

[Morgado, Dodaro, and Marques-Silva, 2014]

[Davies and Bacchus, 2013]

[Martins, Joshi, Manquinho, and Lynce, 2014a]

[Saikko, Berg, and Järvisalo, 2016]

[Martins, Manquinho, and Lynce, 2014b]

[Koshimura, Zhang, Fujita, and Hasegawa, 2012]

[Ignatiev, Morgado, and Marques-Silva, 2019]

[Korhonen, Berg, Saikko, and Järvisalo, 2017]

Outline

- 1 Definitions.
- 2 Clause Redundancy in MaxSAT
- 3 Effects of Redundant Clauses in MaxSAT.
- 4 Empirical Evaluation.

Preliminaries: Maximum Satisfiability

- Optimisation extension of Boolean Satisfiability (SAT)
- An instance:
 - ▶ a set of hard clauses,
 - ▶ a linear objective function *cost*
- Find τ that:
 - ▶ satisfies all hard clauses and
 - ▶ minimizes *cost*
 - ▶ $cost(\mathcal{F}) = \text{cost of its optimal solutions.}$
- \mathcal{F}_B : set of objective variables.
- **Note:** All results extend to weights!

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 - ▶ equivalent to a set of soft clauses.
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$$\mathcal{F}_H = \{(b_1 \vee x), (\neg x \vee b_2), (b_2 \vee y), (\neg y, b_3)\}$$

$$cost \equiv b_1 + b_2 + b_3$$

$$\mathcal{F}_S = \{(\neg b_1), (\neg b_2), (\neg b_3)\}$$

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$$\begin{aligned}\tau(y) &= \tau(b_1) = \tau(b_3) = 1 \\ \tau(x) &= \tau(b_2) = 0\end{aligned}$$

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$$\text{cost}(\tau) = 2$$

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$$cost(\tau) = 1$$

$$cost(\mathcal{F}) = 1$$

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- **Note:** All results extend to weights!

$$\mathcal{F}_H = \{(b_1 \vee x), (\neg x \vee b_2), \\ (b_2 \vee y), (\neg y, b_3)\}$$

$$cost \equiv \sum_{b \in \mathcal{F}_B} b$$

$$\mathcal{F}_B = \{b_1, b_2, b_3\}$$

Redundant Clauses in MaxSAT

Clause Redundancy

In MaxSAT

C redundant for \mathcal{F} if

$$\text{cost}(\mathcal{F}) = \text{cost}(\mathcal{F} \wedge C).$$

where $\mathcal{F} \wedge C = (\mathcal{F}_H \wedge C, \mathcal{F}_B)$

In SAT [Järvisalo, Heule, and Biere, 2012; Heule, Järvisalo, Lonsing, Seidl, and Biere, 2015]

C redundant for F if F and $F \wedge C$ are *equisatisfiable**

* i.e. if F is satisfiable if and only if $F \wedge C$ is

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Note: that the definitions coincide if $\mathcal{F}_B = \emptyset$

Clause Redundancy via Semantic Implication

(Informal) Theorem for SAT [Heule, Kiesl, and Biere, 2020]

Assume $\tau(F) = 1$ and $\tau(C) = 0$.

C is redundant if ω for which $\omega(F \wedge C) = 1$ can be constructed.

Formally, if an ω for which $\omega(C) = 1$, and $\mathcal{F}_H|_{\neg C} \models \mathcal{F}_H|_{\omega}$ exists.

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Our extension for MaxSAT

Assume $\tau(\mathcal{F}_H) = 1$ and $\tau(C) = 0$.

C is redundant an ω for which:

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Clause Redundancy via Semantic Implication

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Assume $\tau(\mathcal{F}_H) = 1$ and $\tau(C) = 0$.

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can be constructed.

More specifically C is:

- **cost literal propagation redundant (CLPR)** if ω flips a single $l \in C \setminus \mathcal{F}_B$.
- **cost set propagation redundant (CSPR)** if ω flips a subset $L \subset C \setminus \mathcal{F}_B$.
- **cost propagation redundant (CPR)** if ω is constructed based on a given third assignment δ .

Example

$$\mathcal{F}_H = \{(b_1 \vee x), (\neg x \vee b_2), \\ (b_2 \vee y), (\neg y \vee b_3)\}$$

$$\mathcal{F}_B = \{b_1, b_2, b_3\}$$

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$$\mathcal{F}_H = \{(b_1 \vee x), (\neg x \vee b_2), \\ (b_2 \vee y), (\neg y \vee b_3)\} \quad (\neg x \vee \neg b_1)$$

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$$\tau(b_1) = \tau(b_2) = \tau(x) = 1$$

$$\tau(b_3) = \tau(y) = 0$$

- 1 $(\neg x \vee \neg b_1)$ is redundant,
- 2 $(\neg b_1)$ is redundant,
- 3 $(\neg b_2)$ is **not** redundant since the witness would have higher cost

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$$\omega(b_2) = \omega(x) = 1$$

$$\omega(b_1) = \omega(b_3) = \omega(y) = 0$$

$$\text{cost}(\omega) = 1 \leq 2 = \text{cost}(\tau)$$

- 1 $(\neg x \vee \neg b_1)$ is redundant, alter by $b_1 = 0$.
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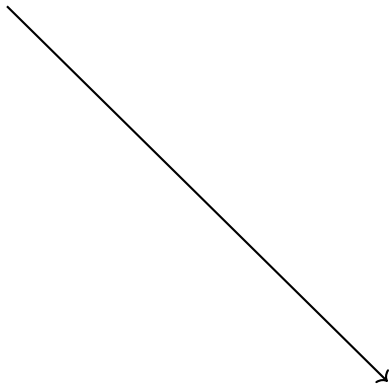
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Why does this matter?

Example: Bounded Variable Elimination

$$\mathcal{F}_H = \{(b_1 \vee x), (\neg x \vee b_2), \\ (b_2 \vee y), (\neg y, b_3)\}$$



$$\mathcal{F}_H^P = \{(b_1 \vee b_2), (b_2 \vee b_3)\}$$

Why does this matter?

Example: Bounded Variable Elimination

$$\mathcal{F}_H = \{(b_1 \vee x), (\neg x \vee b_2), (b_2 \vee y), (\neg y, b_3)\} \longrightarrow \mathcal{F}_H^1 = \{(b_1 \vee x), (\neg x \vee b_2), (b_2 \vee y), (\neg y \vee b_3), (b_1 \vee b_2)\}$$

$$\mathcal{F}_H^2 = \{(\cancel{b_1 \vee x}), (\neg x \vee b_2), (b_2 \vee y), (\neg y \vee b_3), (b_1 \vee b_2)\} \longrightarrow \mathcal{F}_H^3 = \{(\cancel{b_1 \vee x}), (\cancel{\neg x \vee b_2}), (b_2 \vee y), (\neg y \vee b_3), (b_1 \vee b_2)\}$$

$$\dots \longrightarrow \mathcal{F}_H^P = \{(b_1 \vee b_2), (b_2 \vee b_3)\}$$

Effect of Redundant Clauses in MaxSAT

Definition: MCSes

$$\mathcal{F}_H = \{(b_1 \vee x), (\neg x \vee b_2), \\ (b_2 \vee y), (\neg y, b_3)\}$$

$$\text{cost} \equiv b_1 + b_2 + b_3$$

- **MCS**: subset-minimal set of blocking variables set to 1 by some solution.
 - ▶ equivalently, a minimal set of soft clauses falsified by some solution.
- Tight connections between MCSes and MaxSAT solutions.
- $\text{MCS}(\mathcal{F})$: set of all MCSes of \mathcal{F} .

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$$hs^1 = \{b_1, b_3\} \quad (\equiv \{(\neg b_1), (\neg b_3)\})$$

$$\tau^1(b_1) = \tau^1(b_3) = \tau^1(y) = 1$$

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Effect of redundant Clauses on MCSes

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$$\mathcal{F}_B = \{b_1, b_2, b_3\}$$

$$\text{MCS}(\mathcal{F}) = \{\{b_1, b_3\}, \{b_2\}\}$$

- Adding CLPR clause $(\neg x \vee \neg b_1)$ does not affect MCSes.
- Adding CPR clause $(\neg b_1)$ does.

Effect of redundant Clauses on MCSes

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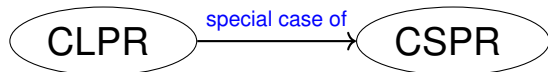
Analysis of Redundant Clauses

Summary

CLPR

Analysis of Redundant Clauses

Summary



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Analysis of Redundant Clauses

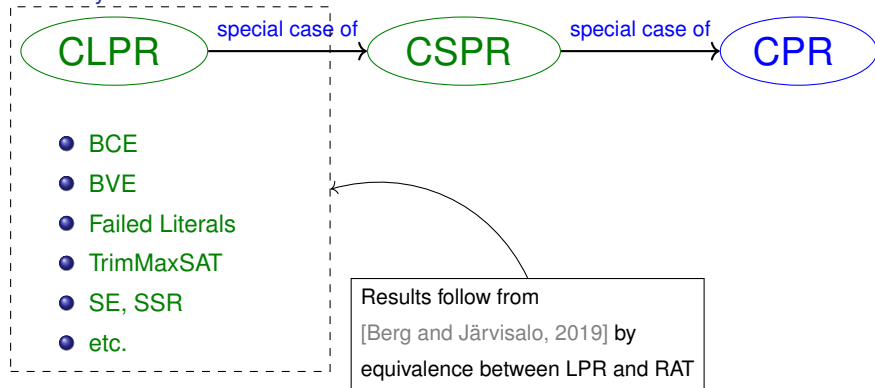
Summary



- Adding or removing preserves all MCSes
- Adding or removing is guaranteed to only preserve one minimum cost MCS

Analysis of Redundant Clauses

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Analysis of Redundant Clauses

Summary



- BCE
- BVE
- Failed Literals
- TrimMaxSAT
- SE, SSR
- etc.

- Group Subsumed Label Elimination
- Hardening
- etc.

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MaxPre 2 - Expressive preprocessing in practice

MaxPre 2

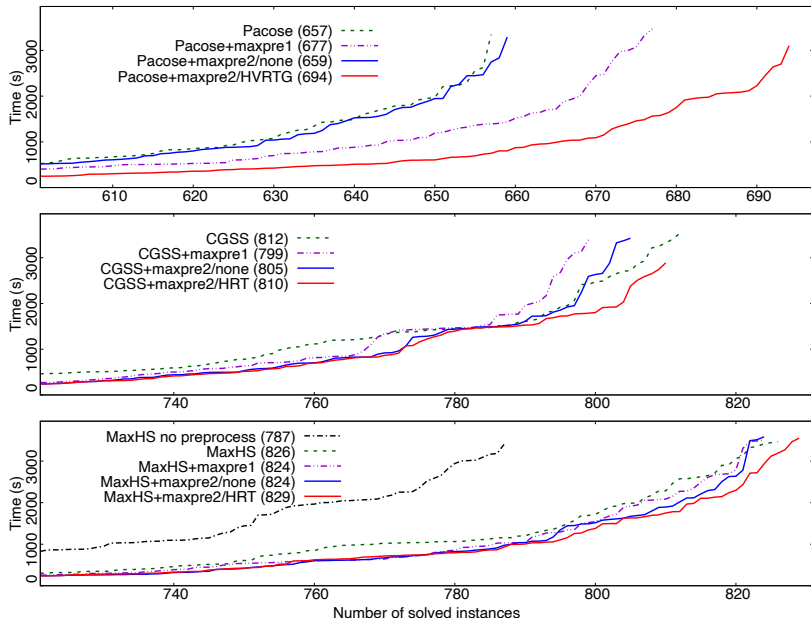
- Stand-alone tool implementing several heuristics previously only appearing in solvers.
- Allows applying heuristics as a separate solving step.
- Available in open source

<https://bitbucket.org/coreo-group/maxpre2/>

Set Up of Experiments

- All distinct weighted benchmarks from MaxSAT Evaluations 2020 and 2021.
- Experiments with three state-of-the-art complete solvers representing the three types of algorithms:
 - ▶ Core guided: [CGSS](#)
 - ▶ IHS-based: [MaxHS](#)
 - ▶ Model improving: [Pacose](#)
- Also experiments with incomplete [Loandra](#)

Complete Solving



Incomplete Solving

#Wins	base (maxpre1)	no-prepro	maxpre2/ none	maxpre2/ VG
base (maxpre1)	—			
no-prepro		—		
maxpre2/none			—	
maxpre2/VG				—
Score:	0.852	0.840	0.863	0.870

- Results for the state-of-the-art Loandra solver.
- Wins = #instances on which found solution has lower cost.
- Score = average ratio between best-known cost and cost of found solution.

Incomplete Solving

#Wins	base (maxpre1)	no-prepro	maxpre2/ none	maxpre2/ VG
base (maxpre1)	—		135	
no-prepro		—		
maxpre2/none	105		—	
maxpre2/VG				—
Score:	0.852	0.840	0.863	0.870

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Incomplete Solving

#Wins	base (maxpre1)	no-prepro	maxpre2/ none	maxpre2/ VG
base (maxpre1)	—		135	152
no-prepro		—		
maxpre2/none	105		—	
maxpre2/VG	110			—
Score:	0.852	0.840	0.863	0.870

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Incomplete Solving

#Wins	base (maxpre1)	no-prepro	maxpre2/ none	maxpre2/ VG
base (maxpre1)	—	154	135	152
no-prepro	208	—	216	218
maxpre2/none	105	143	—	77
maxpre2/VG	110	140	80	—
Score:	0.852	0.840	0.863	0.870

- Results for the state-of-the-art Loandra solver.
- Wins = #instances on which found solution has lower cost.
- Score = average ratio between best-known cost and cost of found solution.

Conclusions

- Lift recently proposed clause redundancy notions from SAT to MaxSAT
- Complete analysis on the effect of redundant clauses on MCSes
- Provide empirical evaluation on the effect of preprocessing on state-of-the-art MaxSAT solvers.

Future Work

- Develop new inference rules based on the redundancy notions.
 - ▶ Especially rules on the "CPR level".
- Further extend framework to include e.g. core-guided reasoning

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